

# RELATIONSHIP OPENING FORM

(LIMITED COMPANIES / PARTNERSHIP / PROPRIETORSHIP / TRUSTS / SOCIETIES)

Hong Kong Branch



We understand your world

Application Date

/  /   
DD MM YYYY

## I. Entity Details

Registered Name

Trading Name ☐ Same as Registered Name ☐ Others

Registration / Incorporation Details ☐ Certificate of Incorporation No.

☐ Business Registration No.

☐ Other.

Date of Registration / Incorporation  /  /  Country of Registration / Incorporation   
DD MM YYYY

Entity Type ☐ Limited Company ☐ Partnership ☐ Sole Proprietorship ☐ Others

Listing on Stock Exchange ☐ Yes ☐ No Name of Stock Exchange  Stock Code

Country of Tax Residency  Tax Identification Number

Are you a Foreign Financial Institution under FATCA\* ☐ Yes ☐ No If Yes, GIIN

If Not Available, reasons ☐ Applied for GIIN ☐ Exempt from GIIN ☐ Not Obtained

Are you an ☐ Active NFFE ☐ Passive NFFE ☐ NA

Parent Company Details<sup>5</sup> - 10% or more shareholding of applicant (If Applicable)

Registered Name

Date of Registration / Incorporation  /  /  Country of Registration / Incorporation   
DD MM YYYY

Listing on Stock Exchange ☐ Yes ☐ No Name of Stock Exchange  Stock Code

## II. Commercial Details

Nature of Business / Industry ☐ Architecture / Design / Engineering ☐ Education / Training ☐ Consultancy  
☐ Finance / Banking / Insurance ☐ Gems, Jewellery & Precious Metal ☐ Hotels / Restaurant  
☐ Money Changer / Forex ☐ IT / Telecom ☐ Media  
☐ Shipping / Logistics ☐ Ferrous / Non Ferrous Metals ☐ Pharmaceutical  
☐ Manufacturing ☐ Travel / Tourism ☐ Others   
☐ Import / Export / Trading of

Nature of Products & Services Offered

Years of Experience in Business (Promoters)  Expected Annual Business Turnover (USD)

Countries where major business is carried out

Principal sources of Wealth ☐ Business Income & Profit ☐ Other Investments  
☐ Intra Group Financing ☐ Others   
☐ Sale of Assets

Expected source of Funds ☐ Business Income ☐ Investment by Beneficial Owners<sup>#</sup> / Promoters  
☐ Intra Group Financing ☐ Other Investments  
☐ Sale of Assets ☐ Others

Purpose of Setting up Account ☐ Business Operations ☐ Savings / Fixed Deposit ☐ Investment  
☐ Intercompany Settlements ☐ Others

Name of Existing Bank(s)

Reason for not having any Bank Account ☐ Business Operations Not Commenced ☐ Account Closed  
☐ Others

Number of Employees

\* FATCA Compliance (Please refer to Terms & Conditions & Definitions)

\$ In case of multiple entities, please attach independent certification confirming share holding pattern.

# Refer definition of Beneficial Owner under IV. Connected Parties.

HDFC Bank Limited, Hong Kong (Bank incorporated in India with limited liability)

### III. Contact Details

Registered Office Address

City  Pin / Zip  Country

Business Address ☐ Same as Above ☐ Other Address

City  Pin / Zip  Country

Correspondence Address

☐ Same as Registered Office Address

☐ Same as Business Address

☐ Other Address

City  Pin / Zip  Country

Office Telephone Number

Country Code

Area Code

Phone Number

Office Telephone Number

Country Code

Area Code

Phone Number

Fax Number

Country Code

Area Code

Phone Number

Mobile Number

Country Code

Area Code

Phone Number

Contact Name

Email Address

(Statements & Advices will be sent to this email)

1.

2.

Business website URL (if any)

### IV. Connected Parties

**Definition: Beneficial Owner:**

- In relation to a corporation (if fulfils any of the following criteria): means an individual who,
  - owns or controls, directly or indirectly, including through a trust or bearer share holding, not less than 10% of the **issued share capital** of the corporation; or
  - is directly or indirectly, entitled to exercise or control the exercise of not less than 10% of the **voting rights** at general meetings of the corporation;or
  - **exercises ultimate control over the management** of the corporation; or
  - if the corporation is acting on behalf of another person, means the other person.
- In relation to a partnership (if fulfils any of the following criteria): means an individual who,
  - is entitled to or controls, directly or indirectly, not less than 10% share of the capital or profits of the partnership; or
  - is directly or indirectly, entitled to exercise or control the exercise of not less than 10% of the voting rights in the partnership; or
  - **exercises ultimate control over the management** of the partnership; orif the partnership is acting on behalf of another person, means the other person.
- In relation to a trust (if fulfils any of the following criteria): means an individual who,
  - is entitled to a vested interest in not less than 10% of the capital of the trust property, whether the interest is in possession or in the remainder or reversion and whether it is defeasible or not; or
  - the settlor of the trust; or
  - a protector or enforcer of the trust; or
  - an individual who had ultimate control over the trust.
- In relation to a person other than (1) to (3) (if fulfils any of the following criteria): means an individual who,
  - ultimately owns and / or controls any other entity, or
  - if the person is acting on behalf of another person, means the other person.

Note: In case of listed company the details of Beneficial owner is not required. Proof of listing status on relevant stock exchange is required.

**A) Director/Partner/Sole Proprietor/Beneficial Owner (More than 10% controlling stake)/Authorised Signatory**Name in English ☐ Mr. ☐ Mrs. ☐ Ms. ☐ Sole Proprietor ☐ Partner ☐ Director ☐ Beneficial Owner (Ownership  %) ☐ Others Please tick here if also a signatory to the account ☐Existing Customer ID with Hong Kong Branch Do you need to update personal information ☐ Yes ☐ No  
*Incase of no changes, please do not fill information below.*Identification Document Type & Number ☐ HKID card (Permanent ☐ Yes ☐ No) Number ☐ Passport  Date of issue  /  /  Date of Expiry  /  /   
DD MM YYYY DD MM YYYYDate of Birth  /  /  Nationality 1   
DD MM YYYYMultiple Nationalities ☐ Yes ☐ No Nationality 2  Nationality 3 Country of Birth  Country of Permanent Residency Country of Tax Residency 1  Tax Identification No.   
*(Or functional equivalent)*Country of Tax Residency 2  Tax Identification No.   
*(Or functional equivalent)*Country of Tax Residency 3  Tax Identification No.   
*(Or functional equivalent)***Residential Address**City  Pin / Zip  Country Residence Phone Number     
Country Code Area Code Phone NumberMobile Number     
Country Code Area Code Phone NumberEmail ID Annual Income Currency  Amount Sources of Income ☐ Salary ☐ Earning from Business ☐ Inheritance ☐ Personal Savings  
☐ Investment Income ☐ Others **Relationship with staff member(s) or Director(s) of the Bank**☐ Yes ☐ No Name of Staff / Director **Politically Exposed Person (PEP) or Related to PEP**☐ Yes ☐ No Name of PEP ◆ **Signature** ☐ Authorised Signatory ☐ Director / Connected Party who is not authorised to operate the account

I hereby sign below and agree to it serving as my specimen signature for the Smart Account held in the Bank's record.

Name

**B) Director/Partner/Sole Proprietor/Beneficial Owner (More than 10% controlling stake)/Authorised Signatory**Name in English ☐ Mr. ☐ Mrs. ☐ Ms. ☐ Sole Proprietor ☐ Partner ☐ Director ☐ Beneficial Owner (Ownership  %) ☐ Others Please tick here if also a signatory to the account ☐Existing Customer ID with Hong Kong Branch Do you need to update personal information ☐ Yes ☐ No  
*Incase of no changes, please do not fill information below.*Identification Document Type & Number ☐ HKID card (Permanent ☐ Yes ☐ No) Number ☐ Passport  Date of issue  /  /  Date of Expiry  /  /   
DD MM YYYY DD MM YYYYDate of Birth  /  /  Nationality 1   
DD MM YYYYMultiple Nationalities ☐ Yes ☐ No Nationality 2  Nationality 3 Country of Birth  Country of Permanent Residency Country of Tax Residency 1  Tax Identification No.   
*(Or functional equivalent)*Country of Tax Residency 2  Tax Identification No.   
*(Or functional equivalent)*Country of Tax Residency 3  Tax Identification No.   
*(Or functional equivalent)***Residential Address**City  Pin / Zip  Country Residence Phone Number     
Country Code Area Code Phone NumberMobile Number     
Country Code Area Code Phone NumberEmail ID Annual Income Currency  Amount Sources of Income ☐ Salary ☐ Earning from Business ☐ Inheritance ☐ Personal Savings  
☐ Investment Income ☐ Others **Relationship with staff member(s) or Director(s) of the Bank**☐ Yes ☐ No Name of Staff / Director **Politically Exposed Person (PEP) or Related to PEP**☐ Yes ☐ No Name of PEP ◆ **Signature** ☐ Authorised Signatory ☐ Director / Connected Party who is not authorised to operate the account

I hereby sign below and agree to it serving as my specimen signature for the Smart Account held in the Bank's record.

Name

**C) Director/Partner/Sole Proprietor/Beneficial Owner (More than 10% controlling stake)/Authorised Signatory**Name in English ☐ Mr. ☐ Mrs. ☐ Ms. ☐ Sole Proprietor ☐ Partner ☐ Director ☐ Beneficial Owner (Ownership  %) ☐ Others Please tick here if also a signatory to the account ☐Existing Customer ID with Hong Kong Branch Do you need to update personal information ☐ Yes ☐ No  
*Incase of no changes, please do not fill information below.*Identification Document Type & Number ☐ HKID card (Permanent ☐ Yes ☐ No) Number ☐ Passport  Date of issue  /  /  Date of Expiry  /  /   
DD MM YYYY DD MM YYYYDate of Birth  /  /  Nationality 1   
DD MM YYYYMultiple Nationalities ☐ Yes ☐ No Nationality 2  Nationality 3 Country of Birth  Country of Permanent Residency Country of Tax Residency 1  Tax Identification No.   
*(Or functional equivalent)*Country of Tax Residency 2  Tax Identification No.   
*(Or functional equivalent)*Country of Tax Residency 3  Tax Identification No.   
*(Or functional equivalent)***Residential Address**City  Pin / Zip  Country Residence Phone Number     
Country Code Area Code Phone NumberMobile Number     
Country Code Area Code Phone NumberEmail ID Annual Income Currency  Amount Sources of Income ☐ Salary ☐ Earning from Business ☐ Inheritance ☐ Personal Savings  
☐ Investment Income ☐ Others **Relationship with staff member(s) or Director(s) of the Bank**☐ Yes ☐ No Name of Staff / Director **Politically Exposed Person (PEP) or Related to PEP**☐ Yes ☐ No Name of PEP ◆ **Signature** ☐ Authorised Signatory ☐ Director / Connected Party who is not authorised to operate the account

I hereby sign below and agree to it serving as my specimen signature for the Smart Account held in the Bank's record.

Name

**D) Director/Partner/Sole Proprietor/Beneficial Owner (More than 10% controlling stake)/Authorised Signatory**Name in English ☐ Mr. ☐ Mrs. ☐ Ms. ☐ Sole Proprietor ☐ Partner ☐ Director ☐ Beneficial Owner (Ownership  %) ☐ Others Please tick here if also a signatory to the account ☐Existing Customer ID with Hong Kong Branch Do you need to update personal information ☐ Yes ☐ No  
*Incase of no changes, please do not fill information below.*Identification Document Type & Number ☐ HKID card (Permanent ☐ Yes ☐ No) Number ☐ Passport  Date of issue  /  /  Date of Expiry  /  /   
DD MM YYYY DD MM YYYYDate of Birth  /  /  Nationality 1   
DD MM YYYYMultiple Nationalities ☐ Yes ☐ No Nationality 2  Nationality 3 Country of Birth  Country of Permanent Residency Country of Tax Residency 1  Tax Identification No.   
*(Or functional equivalent)*Country of Tax Residency 2  Tax Identification No.   
*(Or functional equivalent)*Country of Tax Residency 3  Tax Identification No.   
*(Or functional equivalent)***Residential Address**City  Pin / Zip  Country Residence Phone Number     
Country Code Area Code Phone NumberMobile Number     
Country Code Area Code Phone NumberEmail ID Annual Income Currency  Amount Sources of Income ☐ Salary ☐ Earning from Business ☐ Inheritance ☐ Personal Savings  
☐ Investment Income ☐ Others **Relationship with staff member(s) or Director(s) of the Bank**☐ Yes ☐ No Name of Staff / Director **Politically Exposed Person (PEP) or Related to PEP**☐ Yes ☐ No Name of PEP ◆ **Signature** ☐ Authorised Signatory ☐ Director / Connected Party who is not authorised to operate the account

I hereby sign below and agree to it serving as my specimen signature for the Smart Account held in the Bank's record.

Name

**E) Director/Partner/Sole Proprietor/Beneficial Owner (More than 10% controlling stake)/Authorised Signatory**Name in English ☐ Mr. ☐ Mrs. ☐ Ms. ☐ Sole Proprietor ☐ Partner ☐ Director ☐ Beneficial Owner (Ownership  %) ☐ Others Please tick here if also a signatory to the account ☐Existing Customer ID with Hong Kong Branch Do you need to update personal information ☐ Yes ☐ No  
*Incase of no changes, please do not fill information below.*Identification Document Type & Number ☐ HKID card (Permanent ☐ Yes ☐ No) Number ☐ Passport  Date of issue  /  /  Date of Expiry  /  /   
DD MM YYYY DD MM YYYYDate of Birth  /  /  Nationality 1   
DD MM YYYYMultiple Nationalities ☐ Yes ☐ No Nationality 2  Nationality 3 Country of Birth  Country of Permanent Residency Country of Tax Residency 1  Tax Identification No.   
*(Or functional equivalent)*Country of Tax Residency 2  Tax Identification No.   
*(Or functional equivalent)*Country of Tax Residency 3  Tax Identification No.   
*(Or functional equivalent)***Residential Address**City  Pin / Zip  Country Residence Phone Number     
Country Code Area Code Phone NumberMobile Number     
Country Code Area Code Phone NumberEmail ID Annual Income Currency  Amount Sources of Income ☐ Salary ☐ Earning from Business ☐ Inheritance ☐ Personal Savings  
☐ Investment Income ☐ Others **Relationship with staff member(s) or Director(s) of the Bank**☐ Yes ☐ No Name of Staff / Director **Politically Exposed Person (PEP) or Related to PEP**☐ Yes ☐ No Name of PEP ◆ **Signature** ☐ Authorised Signatory ☐ Director / Connected Party who is not authorised to operate the account

I hereby sign below and agree to it serving as my specimen signature for the Smart Account held in the Bank's record.

Name

## V. Declaration

I hereby confirm that I have read and understood the above Definitions. I also confirm that the information provided above is true and accurate.

### DECLARATION

1. I/We request the Bank to open the account and relationship as above.
2. I/We agree that the opening and operation of my/our account(s) with the Bank shall be governed by the terms of the mandate from time to time given by me/us to the Bank.
3. I/We have received and read and agree to be bound by the terms and conditions governing or applicable to the accounts of the Customer with the Bank and all the relevant terms and conditions issued by the Bank governing the accounts, collections, remittances and electronic banking. I/We agree to abide and be bound by them and any amendments/alterations and additions thereto that may be made from time-to-time.
4. I/We consent to disclosures as provided herein and agree that all payments/bank charges applicable be debited from the account.
5. It will solely be at the Bank's discretion to issue cheques against the account so opened by this request. Moreover, the Bank may also divulge our name and account number to the printers/printing houses for the purpose of getting the cheque books printed for our accounts.
6. I/We also consent to the Bank advising me/us about all products or services offered by the Bank periodically to us by email/letters/pamphlets.
7. I/We agree to the Bank's disclosure of any information and documents, which the Bank considers reasonably necessary for the purpose of compliance with any Anti-Money Laundering ("AML") legislation or regulatory guidelines applicable to the Bank including but not limited to the Anti-Money Laundering and Counter Terrorist Financing (Financial Institutions) Ordinance (Cap. 615 of Laws of Hong Kong) and the Guideline on Anti-Money Laundering & Counter Terrorist Financing (for Authorized Institutions) and its amendments from time to time published by the Hong Kong Monetary Authority and we further undertake to make any disclosure of any information and/or documents and grant access to the Bank to any other documents for such purpose.
8. I/We acknowledge that HDFC Bank Limited, Hong Kong Branch is a member of the Deposit Protection Scheme in Hong Kong. Smart Accounts (Incl. credit balance in Overdraft Accounts) & Time Deposits (not exceeding 5 years) taken by the bank are deposits qualified for protection by the scheme up to a limit of HKD 800,000 per depositor. I/We acknowledge that I/we understand that any structured investment products /deposits, currency linked products, equity linked products, range accruals & inverse floaters are not protected deposits and are not protected by the Deposit Protection Scheme in Hong Kong.
9. I/We acknowledge that I/we have received & gone through the Personal Data Privacy Policy adopted by the Bank and fully understood the contents of this application form.
10. I/We acknowledge and accept the outsourcing system of the Bank with the following features:
  - a. The Head Office of HDFC Bank Ltd. (HDFC Bank), having its place of business in India and carrying on the business of banking in India as licensed under the applicable laws and regulations of India, has decided to implement the system to integrate and centrally manage and process the back office operations of its overseas branches, including HDFC Bank Ltd., Hong Kong, at the head office level in India.
  - b. In implementing the outsourcing system the Hong Kong Branch of the Bank and HDFC Bank have entered into a Master Service Level Agreement (the "Agreement" which includes such agreement as from time to time amended and varied) which, provides that customers' data confidentiality under the Personal Data (Privacy) Ordinance Cap. 486 of the Laws of Hong Kong and Hong Kong's common law on customer secrecy shall be recognized and protected.
  - c. It is understood that HDFC Bank may have to provide the customer information to related authorities pursuant to the relevant laws in India and that such information would be provided only to those who have taken proper steps to obtain authorization and hold them for legitimate purposes.
11. I/We confirm that our tax affairs are in order and assets and income have been declared to concerned tax authorities.

<div>Signature of Authorized Signatory with Chop</div>	<div>Signature of Authorized Signatory with Chop</div>	<div>Signature of Authorized Signatory with Chop</div>
<div>Name</div>	<div>Name</div>	<div>Name</div>
<div>Signature of Authorized Signatory with Chop</div>	<div>Signature of Authorized Signatory with Chop</div>	<div>Signature of Authorized Signatory with Chop</div>
<div>Name</div>	<div>Name</div>	<div>Name</div>

## For Bank Use Only

Customer ID \_\_\_\_\_ Date of Processing \_\_\_\_\_

### Customer Signed in My Presence - Face to Face

Signature of RM / Bank Staff \_\_\_\_\_

Name of RM / Bank Staff \_\_\_\_\_

Employee code \_\_\_\_\_

Risk Assigned ☐ Low ☐ Medium ☐ High

Signature of Approver \_\_\_\_\_